

Risk Tolerance Questionnaire

Before we can determine which mix of investments may be right for you, we ask that you complete the following questionnaire. When answered honestly and completely it will assist in the development of your Investor Profile. Until and unless you make changes to your profile, it will remain in effect and StraightLine will act on your account accordingly.

Our portfolios are constructed with a high degree of correlation, meaning that the more information we have, the better the portfolio is in terms of proper diversification. If there are any assets that StraightLine will not be managing, please consider sharing that information with us as it will help enhance your overall performance through more precise data. Please select **ONLY ONE ANSWER**. Call if you have questions!

1. Which of the following describes your current stage of life?

- Single with few financial burdens
- A couple without children
- A young family
- Mature family
- About to enter retirement
- Retired

2. What return do you reasonably expect to achieve from your investments?

- A reasonable return without losing any capital
- Close to market performance
- Market performance
- Better than market performance
- Willing to accept risk for excess market returns

3. What is your level of understanding of investing and the markets?

- Very little understanding or interest
- Not very familiar however do look at my statements at least once a year
- I know the importance of diversification
- I understand market fluctuations and the difference between tax and tax-free investments
- Experience with all investment sectors and underlying factors which influence performance

4. What percentage of your total assets does this account currently represent?

- All of them
- More than 80% but less than 100%
- More than 50% but less than 80%
- More than 10% but less than 50%
- A very small portion, less than 10%

PLEASE COMPLETE AND SIGN SECOND PAGE

5. Six months after your initial investment the current market and your portfolio has dropped roughly 20%. Which of the following reactions would be closest to yours?

- Shock. Preservation of capital is your main goal and you did not want excessive risk
- Cut your losses and transfer the funds into a stable environment
- Concerned but understand fluctuations. Wait to see if the investments improve
- This was a calculated risk and would leave them in place expecting future growth
- You would invest more to lower your average investment price

6. Which of the following best describes your purpose for investing?

- Mainly investing for growth to accumulate long term (5+ years) wealth
- Have surplus funds to invest and want a balanced portfolio
- Have a lump sum and uncertain about what secure investment alternatives are available
- Nearing retirement and investing to ensure sufficient funds available to enjoy retirement
- Have some specific objectives within the next five years
- Want to provide a regular income stream and/or totally protect the principal investment

7. How long will most of your money be invested before you will need to access it?

- Less than 3 years
- Between 3 and 10 years
- Between 10 and 20 years
- Longer than 20 years

Is there any additional information you feel we should consider when developing your investment strategy, such as significant outside assets, or a unique retirement situation?

Signature

Today's Date

Print Name